

APPENDIX 8

<b>Risk Register for:</b> DWP use of Third Party Infrastructure	<b>Summary of Current Risks</b>		<b>IMPACT</b>					<b>Control Environment</b>	
	2	High	<b>HIGH</b> i.e. a greater than 20% chance of:	Financial	Strategic Priorities and Opportunities	Health & safety	Reputational	Service Delivery	<b>G Reasonable / Accepted Risk (Green)</b>
	5	Medium		Financial impact > £1 million	Major impact (positive or negative on a strategic priority)	Fatality or major injury/ illness (long term incapacity / disability)	Sustained/long term negative public attention	Unable to deliver critical services (levels one and two)	<b>A Partial (Amber)</b>
	3	Low		Financial impact between £500,000 - £1 million	Moderate impact (positive or negative on a strategic priority)	Moderate injury or illness (including RIDDOR reportable)	Short to medium term impact on public memory (affecting more than one ward)	Unable to deliver critical services (level three)	<b>R None / Limited (Red)</b>
10		Financial impact less than £500,000		Minor/ negligible impact (positive or negative) on a strategic priority	Potential for minor injury/illness (requiring minimal intervention or treatment)	Short to medium term impact on public memory (affecting one ward) / minor complaints or rumours	Minor disruption to service delivery		
? Need assistance scoring the risk? Click here for the risk calculator									<b>Last Reviewed</b> 24 May 2017



No	Risk Description	Current Risk Level	Movement	Risk Owner	Date Identified	Review Date	Potential Causes	Control	Lead	Existing Control	Further Actions Necessary	Action Lead	Target Date	Potential Effects
1	Disposal - NES Canford - temporary loss	Low		DWP	04/17		Temporary loss (days) - site / access incident			bulkers diverted to landfill and RCVs diverted to Veolia	Extend contract with Veolia or alternative site	JJ		
							Action by regulators			Continue to develop new WMC Blandford as contingency	Work with NES on further contingencies	JJ / DD		
2	Disposal - NES Canford - permanent loss	High		DWP	04/17		Temporary loss (months) - major fire / incident			no agreements in place	negotiate agreements with waste industry	JJ / IM		
							Failure of business			Use landfills for bulker waste - Dimmer to 2018 and then Bridgwater to 2020. No arrangements in place for RCVs	Soft market testing for disposal in Summer 2017	JJ / IM		
3	Disposal - Veolia Ringwood - temporary loss	Low		DWP	04/17		Failure of RDF offtakers			no agreements in place. Previous discussion with SITA showed willingness of waste industry to step in	Possible discussions with owner of NES Canford site to use as transfer station to minimise disruption to deliveries	JJ / IM		
							Temporary loss (days) - site / access incident			divert RCVs to NES Canford, displacing bulker deliveries who will be diverted to landfill		IM		
4	Disposal - Veolia Ringwood - permanent loss	Medium		DWP	04/17		Contract not extended after August 2018 due to capacity / gate fee increase			Liaison with Veolia for contract extension	Soft market testing for disposal in Summer 2017	JJ / IM		
							Loss of contingency			Continue to develop new WMC Blandford as contingency	Look at potential for other infrastructure - Christchurch HRC, Hurn, Hybris	JJ / DD		
5	Loss of access to Somerley HRC, Hampshire	High		DWP	04/17		Hampshire ban Dorset residents or impose high entrance fee			Discussions ongoing with Hampshire to offer financial settlement	Negotiate further with Hampshire	KP / GC / JJ		
							Residents redirected to Wimborne HRC which is already at capacity			Emerging waste Local Plan consultation includes "area of search" for new HRC in Ferndown area	Investigate replacement site in Dorset	JJ / DD		
6	Loss of access to Millhams HRC	Medium		DWP	04/17		Bournemouth ban Dorset residents or impose high entrance fee			Agreement in place to allow access of East Dorset residents as reciprocal arrangement of Bournemouth residents using Christchurch HRC	If Christchurch is included in a new "urban Dorset" Unitary authority then reciprocal arrangement with Christchurch HRC would end	KP / GC / JJ		
							Residents redirected to Wimborne HRC which is already at capacity				Formulate agreement with new Unitary authority	KP / GC / JJ		
7	Loss of access to Nuffield HRC	Medium		DWP	04/17		Poole ban Dorset residents or impose high entrance fee			Financial agreement in place with Poole	Formulate agreement with new Unitary authority	KP / GC / JJ		
							Residents redirected to Wareham HRC which has capacity							
8	Organic waste treatment - temporary loss of Eco Parley or Piddlehinton	Low		DWP			Incident or regulatory action			Liaison with regulator		JJ / IM		
										Annual checks on finance undertaken		JJ / IM		
										Short term contingency arrangements				
9	Organic waste treatment - permanent loss of Eco Parley or Piddlehinton	Medium		DWP			Incident or regulatory action			Liaison with regulator	Contract expires August 2020, re-tender to include contingency	JJ / IM		
							No alternative arrangements with other service providers for food or large quantities of garden waste			Annual checks on finance undertaken		JJ / IM		
10	Dry mixed recycle - loss of Shotton	Medium		DWP			Shotton do not extend agreement for materials			Contractor to find alternative best value solution	Continue to work with Bournemouth on MRF solution	JJ / IM		
							Failure of business							

# Current Risk

*This is the level of risk currently faced by the DWP, based on any controls/mitigation already in operation*

**For each of the 5 questions below please select which statement best explains the current potential impact:**

				<b>Risk Level</b>
<b>1) Financial Risk</b>	Potential for financial impact between £500,000 to £1 million	How likely is this?	Less than 20% chance	Low
<b>2) Risk to Strategic Priorities</b>	Potential for a moderate impact (positive or negative) on a strategic priority	How likely is this?	Less than 20% chance	Low
<b>3) Health and safety risk</b>	There is no health and safety risk			Low
<b>4) Reputational risk</b>	There is potential for sustained/long term negative public attention	How likely is this?	Greater than 20% chance	<b>High</b>
<b>5) Risk to service delivery</b>	There is potential that critical services (levels one and two) could not be delivered	How likely is this?	Less than 20% chance	Medium

Level of risk identified: **High**